

# Criteria equations

Performance criteria are used in several files. Different criterion is given in [subass.txt](#) and [simass.txt](#) files. In addition criteria can be selected in [info.txt](#). Below is listed the code/heading used in each file together with the equation identifier. Further down all the equations are defined.

## Code to equation coupling

Equation IDs for subbasin assessment criteria ([subassX.txt](#)):

| Heading  | Description  | Equation ID  |
|----------|--|--------------|
| NSE      | Nash-Sutcliffe efficiency  | <i>NSE</i>   |
| CC       | Pearson correlation coefficient (Kling-Gupta efficiency, part 1) | <i>CC</i>    |
| RE (%)   | relative bias in percent   | <i>RE%</i>   |
| RSDE (%) | relative error in standard deviation in percent                  | <i>RS%</i>   |
| Sim      | average of simulated variable                                    | <i>cm</i>    |
| Rec      | average of observed variable                                     | <i>rm</i>    |
| SDSim    | standard deviation of simulated variable                         | <i>cd</i>    |
| SDRec    | standard deviation of observed variable                          | <i>rd</i>    |
| MAE      | mean absolute error  | <i>MAE</i>   |
| RMSE     | root mean square error   | <i>RMSE</i>  |
| Bias     | bias   | <i>Bias</i>  |
| SDE      | Error of standard deviation                                      | <i>ES</i>    |
| KGE      | Kling-Gupta efficiency   | <i>KGE</i>   |
| KGESD    | Kling-Gupta efficiency, part 2                                   | <i>KGESD</i> |
| KGEM     | Kling-Gupta efficiency, part 3                                   | <i>KGEM</i>  |
| NRMSE    | normalised root mean square error                                | <i>NE</i>    |
| NSEW     | Nash-Sutcliffe efficiency adjusted for bias                      | <i>NSEW</i>  |

Equation IDs for simulation assessment criteria ([simass.txt](#)):

| Name         | Code | Equation ID    |
|--------------|------|----------------|
| Regional NSE | RR2  | <i>REGNSE</i>  |
| Regional RA  | RRA  | <i>REGRA</i>   |
| Regional RE  | RRE  | <i>REGRB</i>   |
| Regional MAE | -    | <i>REGMAE</i>  |
| Average NSE  | MR2  | <i>AVNSE</i>   |
| Average RA   | MRA  | <i>AVRA</i>    |
| Average RE   | MRE  | <i>AVRB</i>    |
| Average RSDE | MRS  | <i>AVRSB</i>   |
| Average CC   | MCC  | <i>AVCC</i>    |
| Average ARE  | MAR  | <i>AVARB</i>   |
| Spatial NSE  | SR2  | <i>SPATNSE</i> |
| Spatial RA   | RRA  | <i>SPATRA</i>  |

| Name         | Code | Equation ID   |
|--------------|------|---------------|
| Spatial RE   | -    | <i>SPATRB</i> |
| Kendalls Tau | TAU  | <i>AVTAU</i>  |
| Median NSE   | MD2  | <i>MEDNSE</i> |
| Median RA    | MDA  | <i>MEDRA</i>  |
| Median KGE   | MKG  | <i>MEDKGE</i> |
| Median NRMSE | MNR  | <i>MEDNE</i>  |
| Mean NSEW    | MNW  | <i>AVNSEW</i> |

Equation IDs for calibration simulation assessment criteria ([bestsims.txt](#) and [allsim.txt](#)):

| Heading | Description  | Equation ID    |
|---------|--|----------------|
| rr2     | regional Nash-Sutcliffe efficiency (data from all subbasins combined in one data series)   | <i>REGNSE</i>  |
| sr2     | spatial Nash-Sutcliffe efficiency, calculated using annual means for all subbasins (requires at least 5 years and 5 subbasins with data) to form one data series to calculate the Nash-Sutcliffe efficiency on | <i>SPATNSE</i> |
| mr2     | average of Nash-Sutcliffe efficiencies for subbasins   | <i>AVNSE</i>   |
| rmae    | regional mean absolute error (data from all subbasins combined in one data series)   | <i>REGMAE</i>  |
| sre     | spatial relative bias (calculated on annual means for all subbasins)   | <i>SPATRB</i>  |
| rre     | regional relative bias (data from all subbasins combined in one data series)   | <i>REGRB</i>   |
| mre     | average of the relative bias for all subbasins (Note: fraction, not %)   | <i>AVRB</i>    |
| rra     | regional RA, similar to regional NSE, RA is a Nash-Sutcliffe like criterion where the square in the Nash-Sutcliffe formula is exchanged with a coefficient value   | <i>REGRA</i>   |
| sra     | spatial RA, similar to spatial NSE, RA is a Nash-Sutcliffe like criterion where the square in the Nash-Sutcliffe formula is exchanged for a coefficient value  | <i>SPATRA</i>  |
| mra     | average value of RA for subbasins, RA is a Nash-Sutcliffe like criterion where the square in the Nash-Sutcliffe formula is exchanged with a coefficient value  | <i>AVRA</i>    |
| tau     | average of Kendall's Tau value for subbasins   | <i>AVTAU</i>   |
| md2     | median of Nash-Sutcliffe efficiency for subbasins  | <i>MEDNSE</i>  |
| mda     | median of all subbasins' RA (Nash-Sutcliffe like criteria where the square is exchanged with a coefficient value)  | <i>MEDRA</i>   |
| mrs     | average of error in standard deviation for subbasins   | <i>AVRSB</i>   |
| mcc     | Pearson correlation coefficient, average of all subbasins with observations  | <i>AVCC</i>    |
| mdkg    | median of Kling-Gupta efficiency (MKG in <a href="#">info.txt</a> ) for subbasins  | <i>MEDKGE</i>  |
| mare    | average of absolute relative bias for subbasins (Note: fraction. not %) (MAR in <a href="#">info.txt</a> )   | <i>AVARB</i>   |
| mnr     | median of normalised RMSE for subbasins  | <i>MEDNE</i>   |
| mnw     | average of Nash-Sutcliffe efficiencies adjusted for bias for subbasins   | <i>AVNSEW</i>  |

Equation IDs for performance criteria set in [info.txt](#) are tabled [here](#).

## Equation definitions

## Denotations

|       |  |
|-------|--|
| $c$   | computed value   |
| $r$   | recorded value   |
| $i$   | index for time steps with observations in a time series of a station |
| $mi$  | number of values in a time series of a station                       |
| $j$   | index of stations  |
| $mj$  | number of stations   |
| $ij$  | index over time steps with observations for all stations             |
| $mij$ | number of time steps with observations for all stations              |
| $cm$  | average value of $c_i, i=1, mi$ for a station                        |
| $rm$  | average value of $r_i, i=1, mi$ for a station                        |
| $cd$  | standard deviation of $c_i, i=1, mi$ for a station                   |
| $rd$  | standard deviation of $r_i, i=1, mi$ for a station                   |

## Basic equations

Average value for a time series of a station:

$$xm = \frac{1}{mi} \sum_{i=1}^{mi} x_i \quad x=r \text{ or } c$$

Standard deviation of a time series of a station:

$$xd = \sqrt{\frac{1}{mi} \sum_{i=1}^{mi} x_i^2 - xm^2} \quad x=r \text{ or } c$$

## Criteria equations for a time series of a station

Nash-Sutcliffe Efficiency ( $NSE$  or  $R^2$ ):

$$NSE = 1 - \frac{\sum_{i=1}^{mi} (c_i - r_i)^2}{\sum_{i=1}^{mi} (r_i - rm)^2}$$

Efficiency with coefficient  $a$  ( $RA$ ):

$$RA = 1 - \frac{\sum_{i=1}^{mi} |c_i - r_i|^a}{\sum_{i=1}^{mi} |r_i - mm|^a}$$

Bias:

$$Bias = \frac{\sum_{i=1}^{mi} (c_i - r_i)}{mi}$$

Relative bias (RB or RE):

$$RB = \frac{\sum_{i=1}^{mi} (c_i - r_i)}{\left| \sum_{i=1}^{mi} r_i \right|}$$

Relative bias in percent (RE%):

$$RE \% = RB \times 100 = \frac{\sum_{i=1}^{mi} (c_i - r_i)}{\left| \sum_{i=1}^{mi} r_i \right|} \times 100$$

Error of standard deviation (ES):

$$ES = cd - rd$$

Relative error of standard deviation (RS):

$$RS = \frac{cd - rd}{rd}$$

Relative error of standard deviation in percent (RS%):

$$RS \% = RS \times 100 = \frac{cd - rd}{rd} \times 100$$

Mean absolute error (MAE):

$$MAE = \frac{\sum_{i=1}^{mi} |c_i - r_i|}{mi}$$

Kling-Gupta efficiency (*KGE*):

$$KGE = 1 - \sqrt{\left(\frac{CC}{1} - 1\right)^2 + \left(\frac{cd}{rd} - 1\right)^2 + \left(\frac{cm}{rm} - 1\right)^2}$$

Pearson correlation coefficient, Kling-Gupta efficiency part 1 (*CC*):

$$CC = \frac{\frac{1}{mi} \sum_{i=1}^{mi} (r_i \times c_i) - cm \times rm}{cd \times rd}$$

Kling-Gupta efficiency part 2 (*KGESD*):

$$KGESD = \frac{cd}{rd}$$

Kling-Gupta efficiency part 3 (*KGEM*):

$$KGEM = \frac{cm}{rm}$$

Root mean square error (*RMSE*):

$$RMSE = \sqrt{\frac{1}{mi} \sum_{i=1}^{mi} (c_i - r_i)^2}$$

Normalised root mean square error (*NE*):

$$NE = \frac{\sqrt{\frac{1}{mi} \sum_{i=1}^{mi} (c_i - r_i)^2}}{\max(r_i)}$$

Kendalls rank correlation coefficient, tau-b, with adjustments for ties (*TAU*):

$$TAU = \frac{n_c - n_d}{\sqrt{(n_0 - n_1)(n_0 - n_2)}}$$

Nash-Sutcliffe Efficiency adjusted for bias (*NSEW*). Introduced in Lindström (2016):

$$NSEW = NSE - \frac{Bias^2}{rd^2}$$

where

$n_c$  = number of concordant pairs ( $c_i < c_k$  and  $r_i < r_k$  or  $c_i > c_k$  and  $r_i > r_k, i=1, m; k=1, m$ )

$n_d$  = number of discordant pairs ( $c_i < c_k$  and  $r_i > r_k$  or  $c_i > c_k$  and  $r_i < r_k, i=1, m; k=1, m$ )

$n_0$  = number of compared pairs

$n_1$  = number of compared pairs that ties in the computed values

$n_2$  = number of compared pairs that ties in the recorded values

### Criteria equations for a model domain (several stations)

Average Nash-Sutcliffe efficiency (AVNSE):

$$AVNSE = \frac{1}{mj} \sum_{j=1}^{mj} NSE_j$$

Median Nash-Sutcliffe efficiency (MEDNSE):

$$MEDNSE = median \left\{ NSE_j, j=1..mj \right\}$$

Spatial Nash-Sutcliffe efficiency (SPATNSE):

$$SPATNSE = 1 - \frac{\sum_{j=1}^{mj} \left( cm_j - rm_j \right)^2}{\sum_{j=1}^{mj} \left( rm_j - \frac{1}{mj} \sum_{j=1}^{mj} rm_j \right)^2}$$

Regional Nash-Sutcliffe efficiency (REGNSE):

$$REGNSE = 1 - \frac{\sum_{ij=1}^{mij} (c_{ij} - r_{ij})^2}{\sum_{ij=1}^{mij} \left( r_{ij} - \frac{1}{mij} \sum_{ij=1}^{mij} r_{ij} \right)^2}$$

Average efficiency with coefficient a (AVRA):

$$AVRA = \frac{1}{mj} \sum_{j=1}^{mj} RA_j$$

Median efficiency with coefficient a (MEDRA):

$$MEDRA = median \left\{ RA_j, j=1..mj \right\}$$

Spatial efficiency with coefficient a (SPATRA):

$$SPATRA = 1 - \frac{\sum_{j=1}^{mj} |cm_j - rm_j|^a}{\sum_{j=1}^{mj} \left| rm_j - \frac{1}{mj} \sum_{j=1}^{mj} rm_j \right|^a}$$

Regional efficiency with coefficient a (REGRA):

$$REGRA = 1 - \frac{\sum_{ij=1}^{mij} |c_{ij} - r_{ij}|^a}{\sum_{ij=1}^{mij} \left| r_{ij} - \frac{1}{mij} \sum_{ij=1}^{mij} r_{ij} \right|^a}$$

Average relative bias (AVRB):

$$AVRB = \frac{1}{mj} \sum_{j=1}^{mj} RB_j$$

Regional relative bias (REGRB):

$$REGRB = \frac{\sum_{ij=1}^{mj} (c_{ij} - r_{ij})}{\left| \sum_{ij=1}^{mj} r_{ij} \right|}$$

Spatial relative bias (*SPATRB*):

$$SPATRB = \frac{\sum_{j=1}^{mj} (cm_j - rm_j)}{\left| \sum_{j=1}^{mj} rm_j \right|}$$

Median Kling-Gupta efficiency (*MEDKGE*):

$$MEDKGE = \text{median} \left\{ KGE_j, j = 1..mj \right\}$$

Median of Normalised root mean square error (*MEDNE*):

$$MEDNE = \text{median} \left\{ NE_j, j = 1..mj \right\}$$

Average of absolute relative bias (*AVARB*):

$$AVARB = \frac{1}{mj} \sum_{j=1}^{mj} |RB_j|$$

Average Pearson correlation coefficient (*AVCC*):

$$AVCC = \frac{1}{mj} \sum_{j=1}^{mj} CC_j$$

Average relative error of standard deviation (*AVRSB*):

$$AVRSB = \frac{1}{mj} \sum_{j=1}^{mj} RS_j$$

Average Kendalls rank correlation coefficient (*AVTAU*):

$$AVTAU = \frac{1}{mj} \sum_{j=1}^{mj} TAU_j$$

Regional mean absolute error (*REGMAE*):



$$REGMAE = \frac{\sum_{i,j=1}^{m,j} |c_{i,j} - r_{i,j}|}{m,j}$$

Average Nash-Sutcliffe efficiency adjusted for bias (*AVNSEW*):

$$AVNSEW = \frac{1}{m,j} \sum_{j=1}^{m,j} NSEW_j$$

## References

Lindström, G., 2016. Lake water levels for calibration of the S-HYPE model. *Hydrology Research* 47.4:672-682. doi: 10.2166/nh.2016.019.